IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Baltimore, et al.

he assigned

APBI-P05-035

Serial No: To be assigned

Art Unit:

Attorney Docket No.

To be assigned

Filed: J

January 4, 2002

Examiner:

To be assigned

For:

Nuclear Factors Associated with

Transcriptional Regulation

Assistant Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Please enter the following amendment:

In the specification:

Please replace the only complete paragraph under the heading <u>Related</u>

<u>Applications</u> on page 1 with the following text:

This application is a continuation of Serial No. 08/464,364, filed June 5, 1995, which is a divisional of Serial No. 08/418,266, filed April 6, 1995, which is a continuation of 07/791,898, filed November 13, 1991, which is a continuation-in-part of application of Serial No. 06/946,365 (WHI86-10), filed December 24, 1986, and of Serial No. 07/318,901 (WHI87-11A), filed March 3, 1989, and of Serial No. 07/162,680 (WHI87-11), filed March 1, 1988, and of Serial No. 07/341,436 (WHI89-02) filed April 21, 1989, and of Serial No. 06/817/441 (MIT-4167), filed January 9, 1986, and of Serial No. 07/155,207 (MIT-4167A), filed February 12, 1988, and of Serial No. 07/280,173 (MIT-4167AA), filed December 5, 1988. The contents of the ten referenced applications are incorporated herein by reference.

The replacement paragraph presented above incorporates changes as indicated by the marked-up version below.

This application is a continuation of Serial No. 08/464,364, filed June 5, 1995, which is a divisional of Serial No. 08/418,266, filed April 6, 1995, which is a continuation of 07/791,898, filed November 13, 1991, which is a continuation-in-part of application of Serial No. 06/946,365 (WHI86-10), filed December 24, 1986; and of Serial No. 07/318,901 (WHI87-11A), filed March 3, 1989; and of Serial No. 07/162,680 (WHI87-11), filed March 1, 1988; and of Serial No. 07/341,436 (WHI89-02) filed April 21, 1989; and of Serial No. 06/817/441 (MIT-4167), filed January 9, 1986; and of Serial No. 07/155,207 (MIT-4167A), filed February 12, 1988, and of Serial No. 07/280,173 (MIT-4167AA), filed December 5, 1988. The contents of the seven-ten referenced applications are incorporated herein by reference.

Although Applicant believes no fees are needed in connection with filing this Preliminary Amendment, should fees be due in connection with the filing of this Amendment, please charge the fees to our **Deposit Account No. 18-1945.** If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit account.

Date: January 4, 2002

Customer No: 28120
Docketing Specialist
Ropes & Gray
One International Place
Boston, MA 02110
Phone: 617-951-7739

Fax: 617-951-7050

Respectfully Submitted,

Reg. No. 36,709

TRANSMITTAL OF FORMAL DRAWINGS

Docket No APBI-P05-035

In Re Application Of: Baltimore, et al.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
To be assigned	Herewith	To be assigned	To be assigned	To be assigned

Invention:

Nuclear Factors Associated with Transcriptional Regulation

Address to **Assistant Commissioner for Patents** Washington, D.C. 20231

Transmitted herewith are:

58 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

Matthew P. Vincent

Registration No. 36,709

Ropes & Gray **Patent Group**

One International Place

Boston, MA 02110

Customer ID 28120

Dated: January 4, 2002

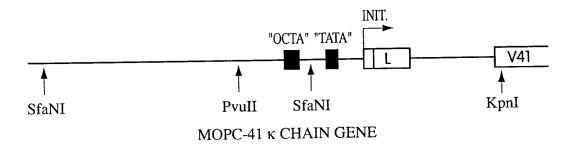


Fig. 1A

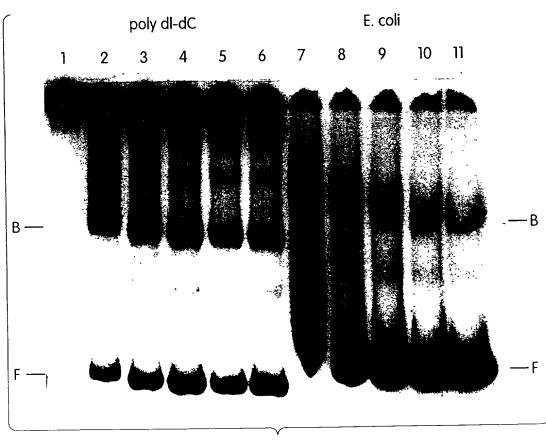
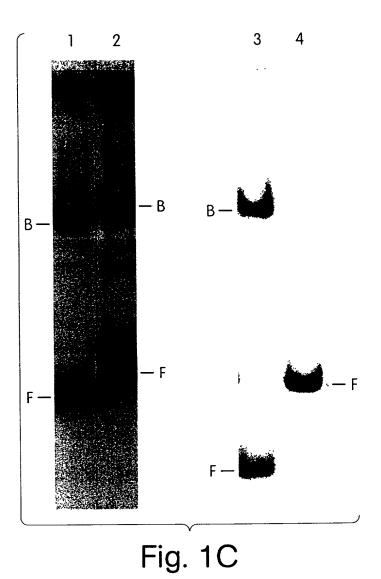


Fig. 1B



pUC V_L





Fig. 2A

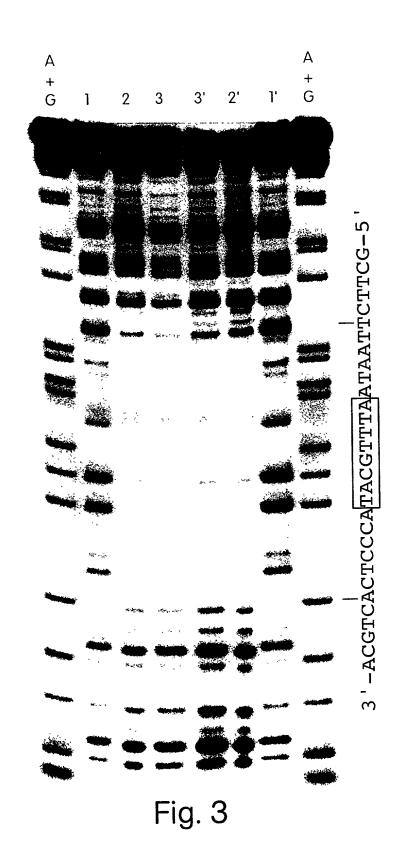
1 2

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HeLa

Fig. 2B



 V_L coding strand (-66) TCTTAATA ATTTGCAT ACCCTCAC V_H non-coding strand (-50) CGCACATG ATTTGCAT ACTCATGA J_H - $C\mu$ coding strand (166) CCTGGGTA ATTTGCAT TTCTAAAA

Fig. 4A

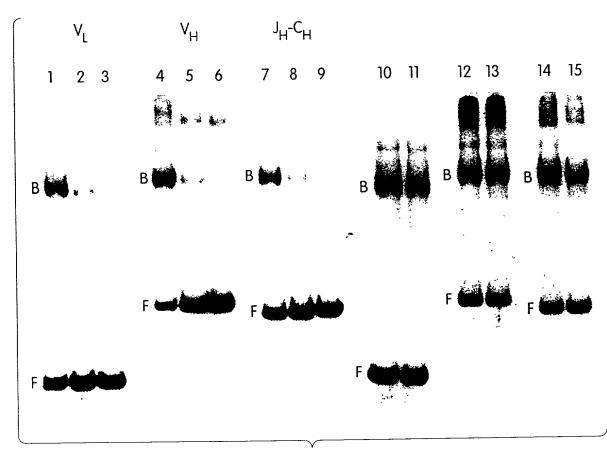
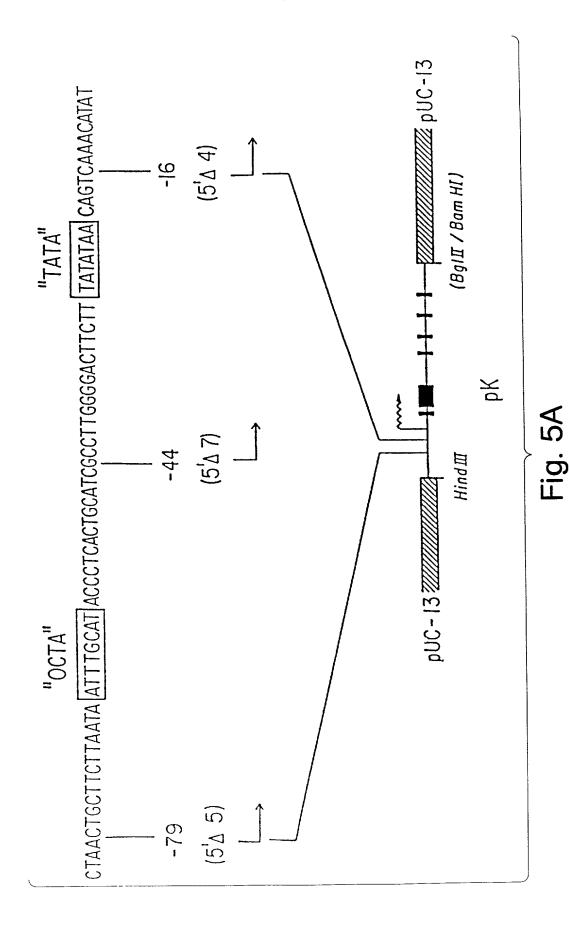


Fig. 4B



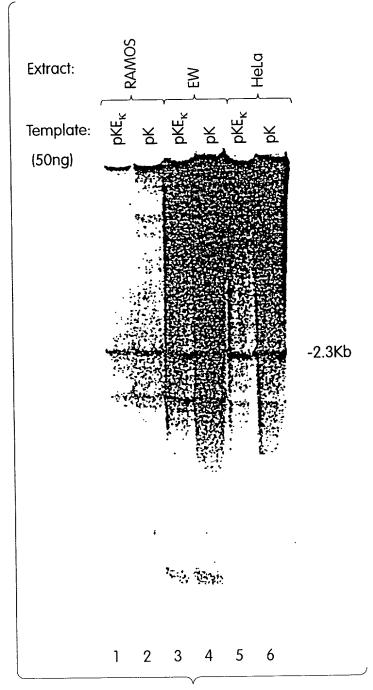


Fig. 5B

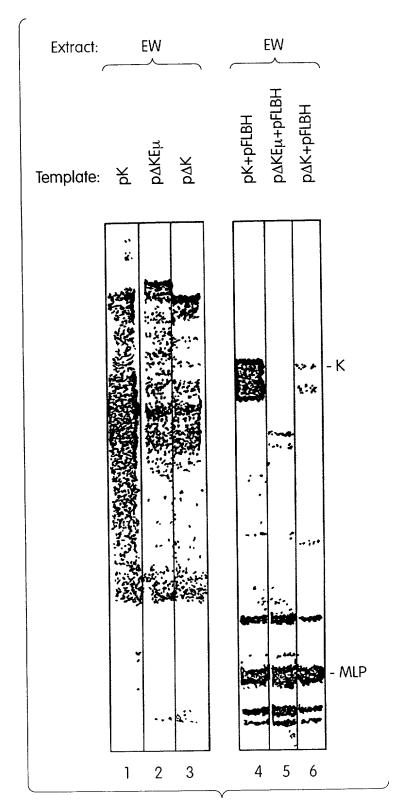


Fig. 6

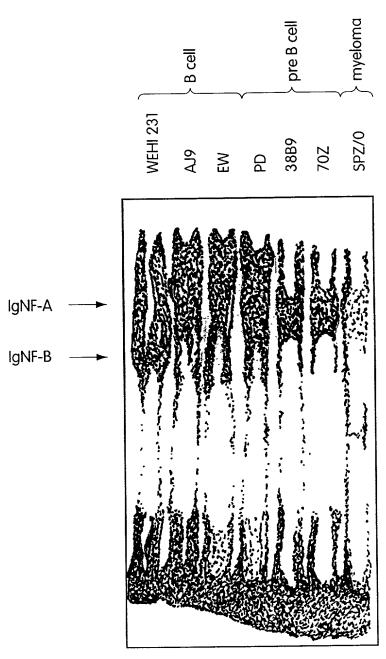


Fig. 7

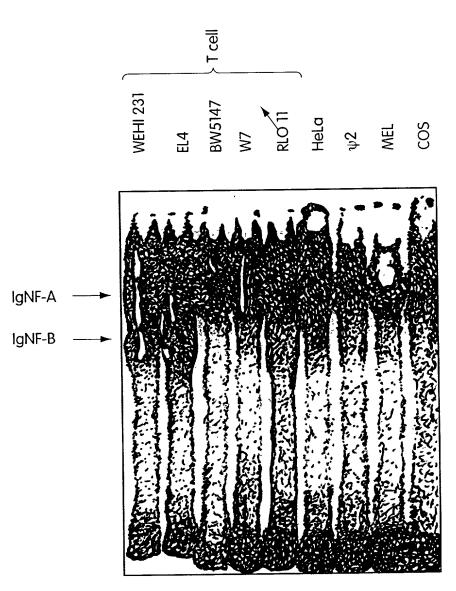


Fig. 8

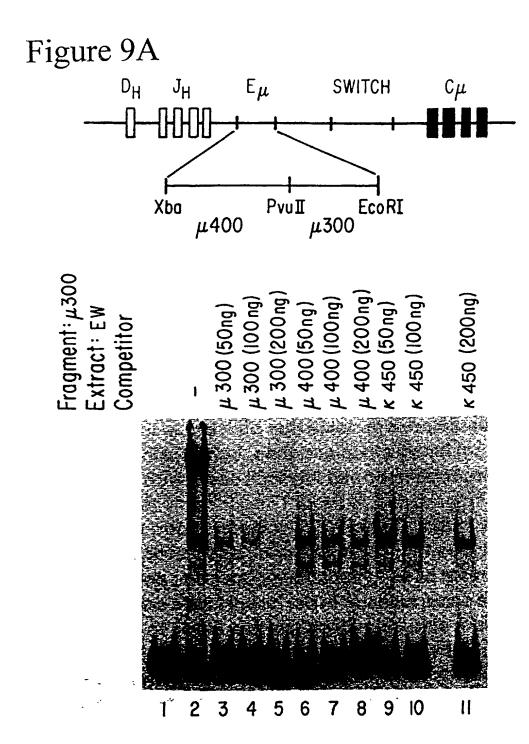


Figure 9B

Figure 10A

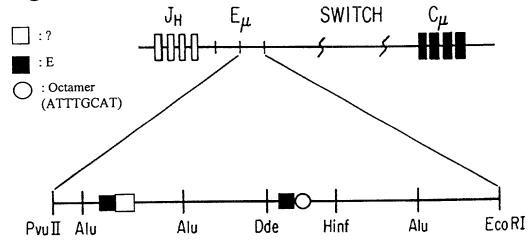
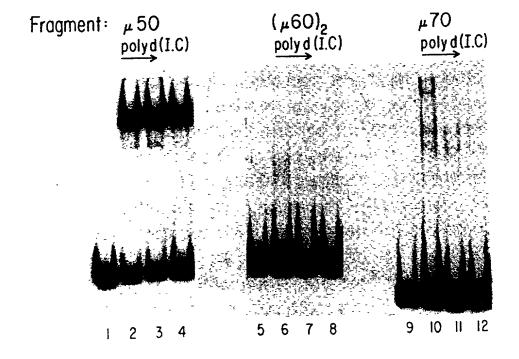


Figure 10B



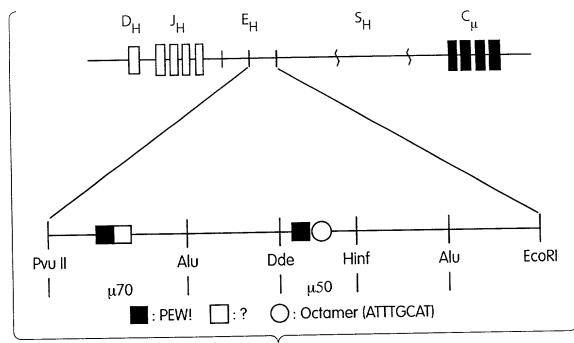


Fig. 10C

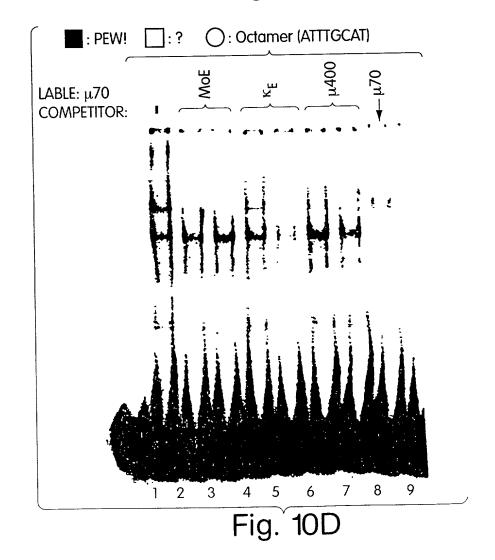


Figure 10E

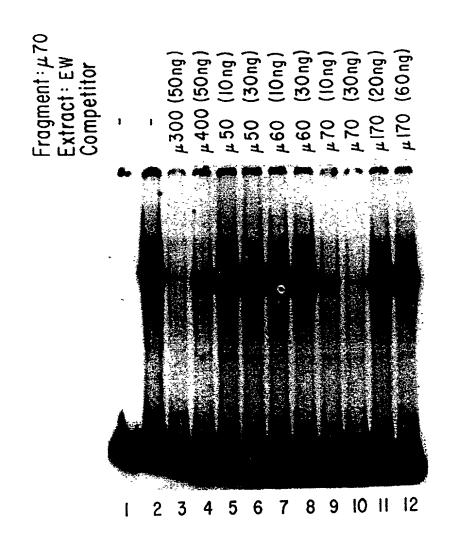


Figure 11A



Figure 11B



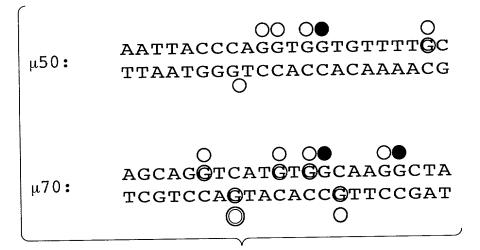
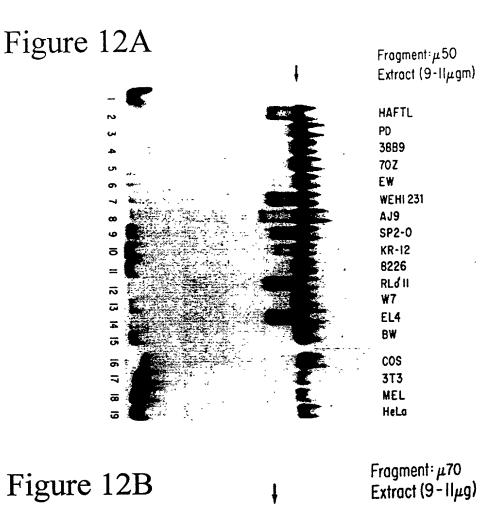
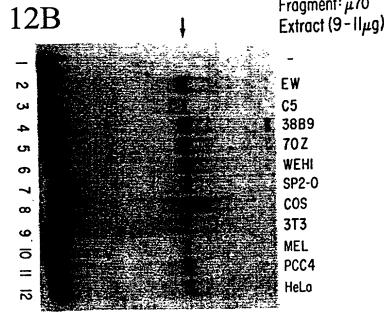
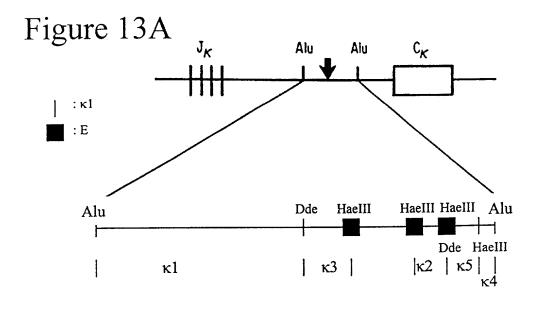


Fig. 11C







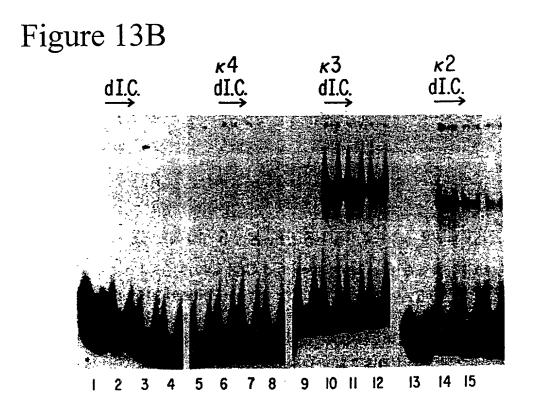


Figure 13C

Extract EW/c 1 μL Fragment Comp

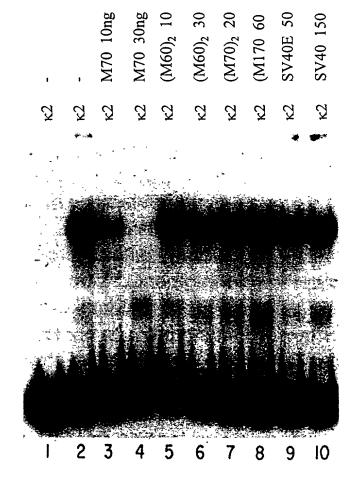
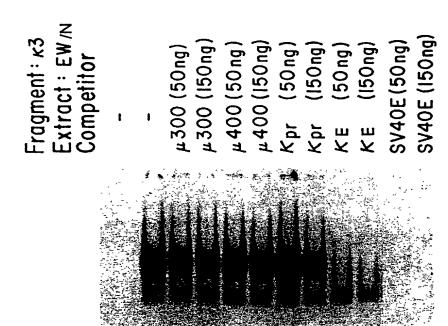


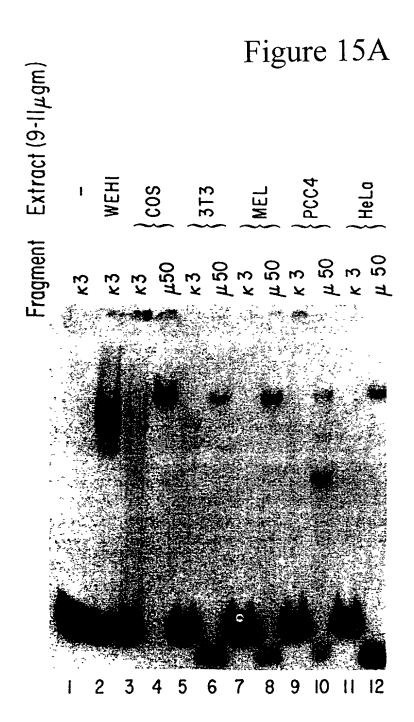
Figure 13D



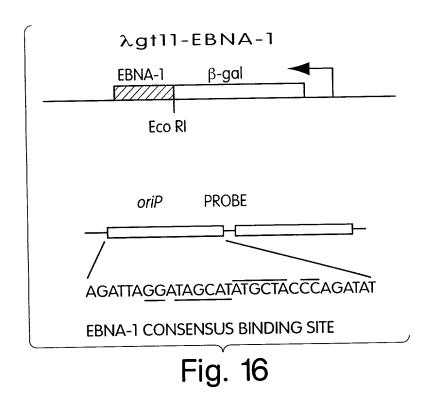
1 2 3 4 5 6 7 8 9 10 11 12

Fragment: κ -3 /Dde*
Extract
MPC!!

WEH! 23! Figure 14



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IIO	KRI2	4	1
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		1	7
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MHC mhc1	TGGGGATTCCCCA TGcGGATTCCCaA
к EN к en	aGGGGACTttCCg aaattAcTttCCg a
SVEN HIV	TGGGGAcTttCCA TGGGGAcTttCCA aaGGGAcTttCCg

Fig. 17

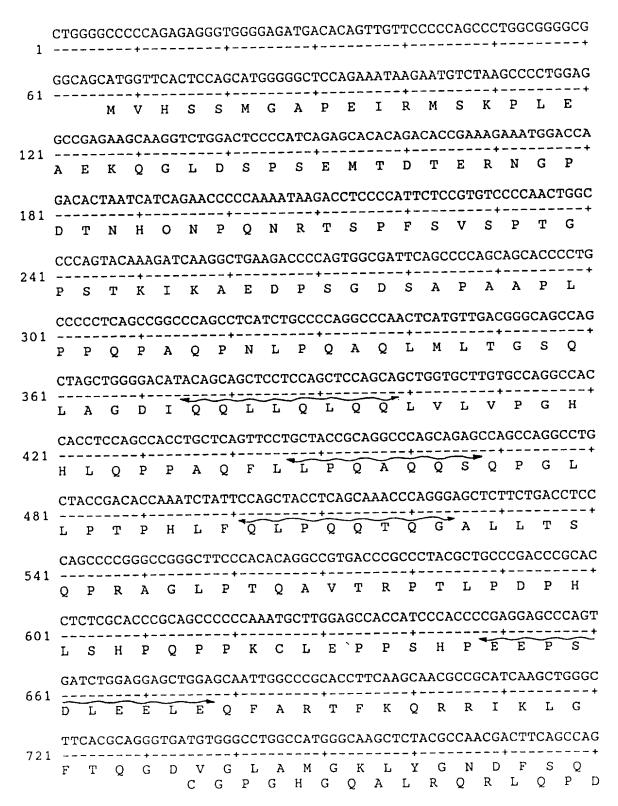


Fig. 18A

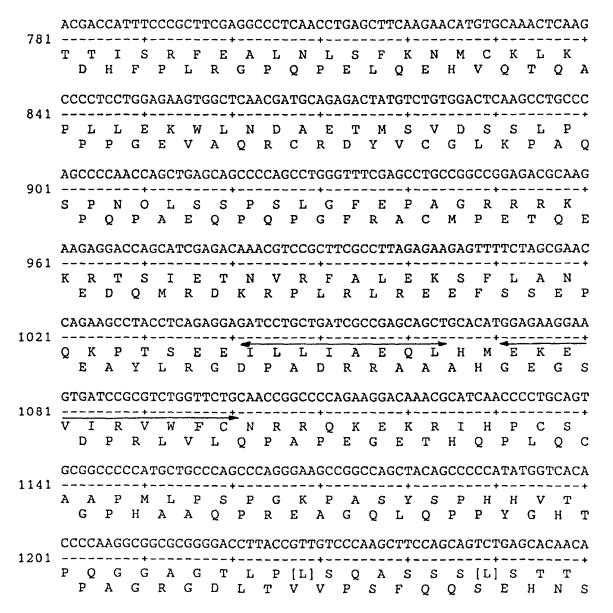


Fig. 18A (CONTINUED)

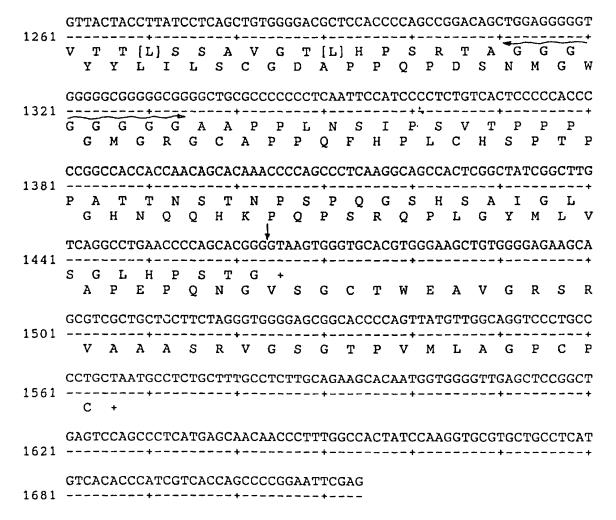


Fig. 18A (CONTINUED)

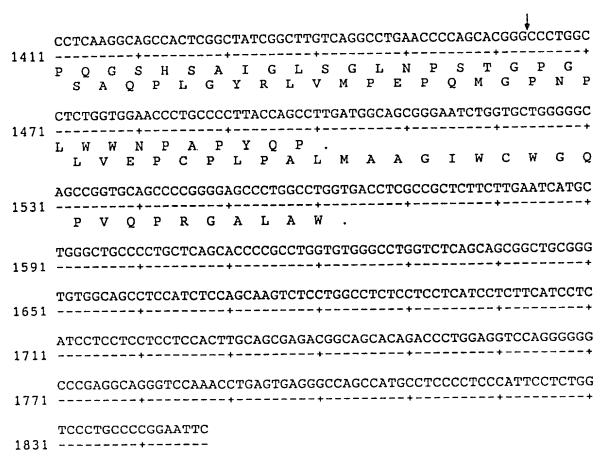


Fig. 18B

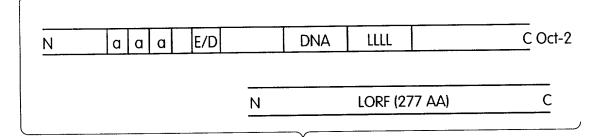


Fig. 18C

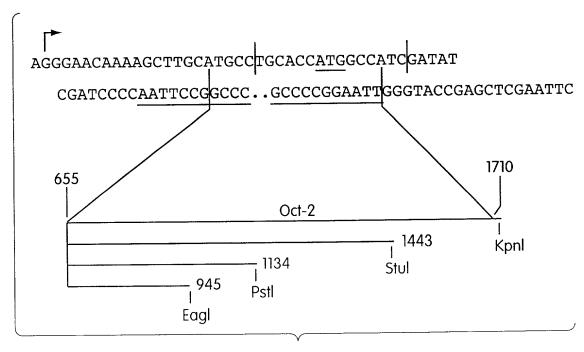


Fig. 19

helix turn helix	2 RRKK <u>r</u> tsietnvrfa <u>l</u> eksflanokptseeilliaeolhmekevirv <u>we</u> c <u>n</u> r <u>ro</u> kekrinpc	SPKGKSSISPQARAFLEQVFRRKQSLNSKEKEEVAKKCGITPLQVRVWEINKRMRSK	KPYRGHRFTKENVRILESWFAKNPYLDTKGLENLMKNTSLSRIQIKNWVSNRRRKEKTIT	ORPKRTRAKGEALDV <u>i</u> krkfeinptpslverkkisdligmpeknvri <u>weon</u> rraklrkko	RRGP <u>R</u> TTIKQN <u>Q</u> LDV <u>L</u> NEMFSNTPKPSKHARAKLALETG <u>L</u> SMRVIQV <u>WEQN</u> RRSKERRLK
	*	* * *	*	* *	* * *
	Oct-2	al	α2	pho2	тес-3

vggv	KKST	KKEN	(conserved residues in homeo-box family)
SKKQBVLFSEEQKEALRLAFALDPYPNVGTIEFLANELGLATRTITNWEHNHEMRLKQQV * * *	EKRPRTAFSSEQLARLKREFNENRYLTERRRQQLSSELGLNEAQIKIWEQNKRAKIKKST *	RKRGBQTYTRYQTLELEKEFHFNRYLTRRRIEIAHALCLTERQIKIWEONRBMKWKKEN *	अस्य अस
EFLANELG <u>L</u> ATR1 * *	QQLSSELG <u>L</u> NEAC	LEIAHALC <u>L</u> TER(*	д
afaldp <u>y</u> pnvgti	eenenr <u>y</u> lterrr	EFHFNR <u>Y</u> LTRRRR	×
ALRE	RLKR	ELEK	н
ЕБОКЕ	SEQLA	RYQTL	a
SKKQBVLFS	EKRPRTAFS	RKRGROTYT	μΊ
cut	en	Antp	

Fig. 20

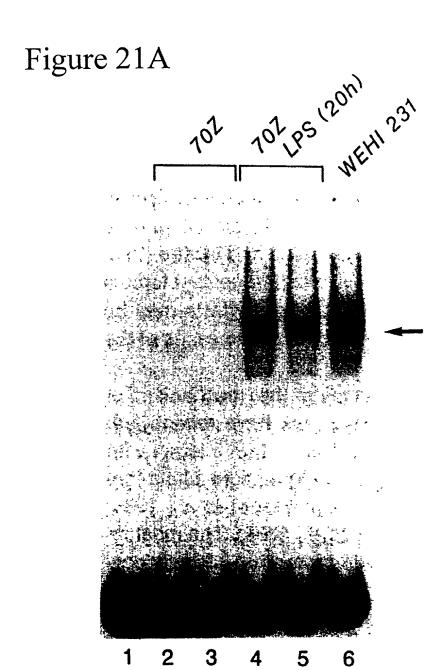


Figure 21B

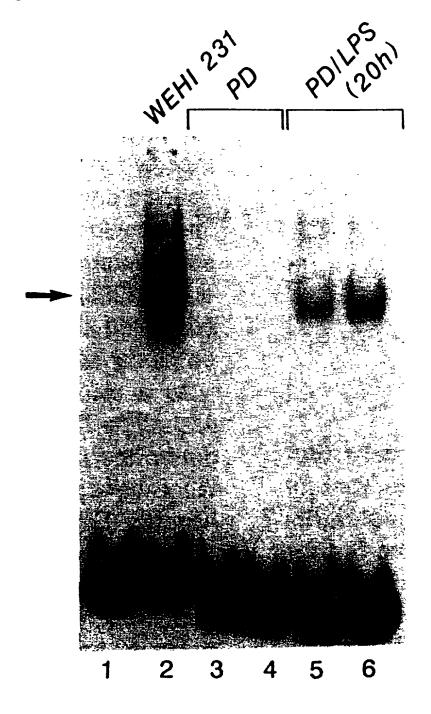


Figure 22A

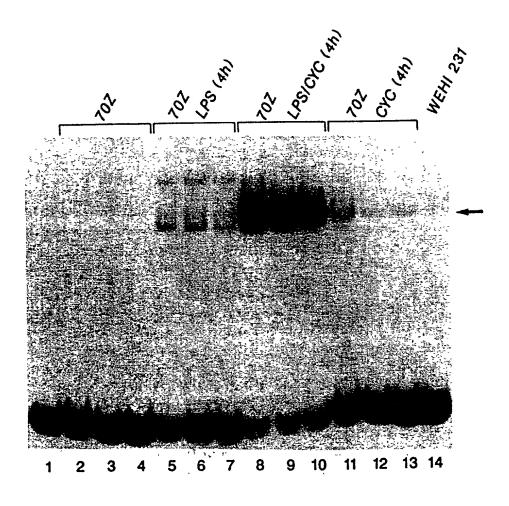


Figure 22B

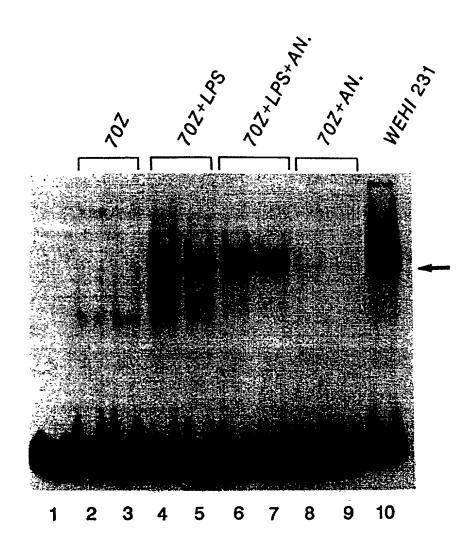


Figure 23A

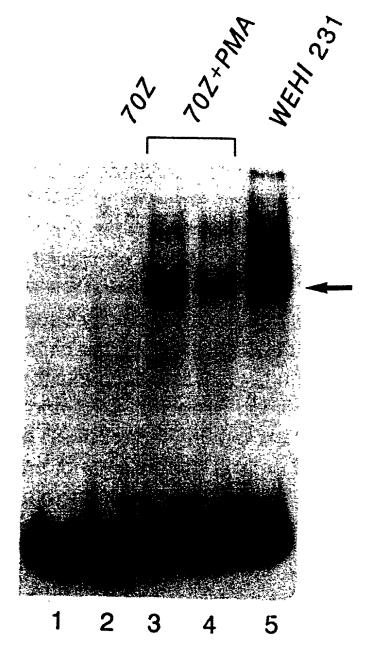
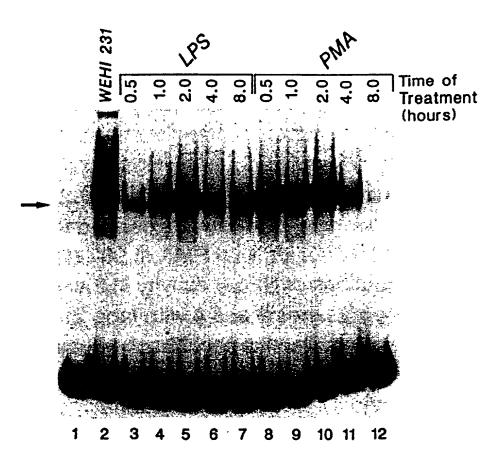


Figure 23B



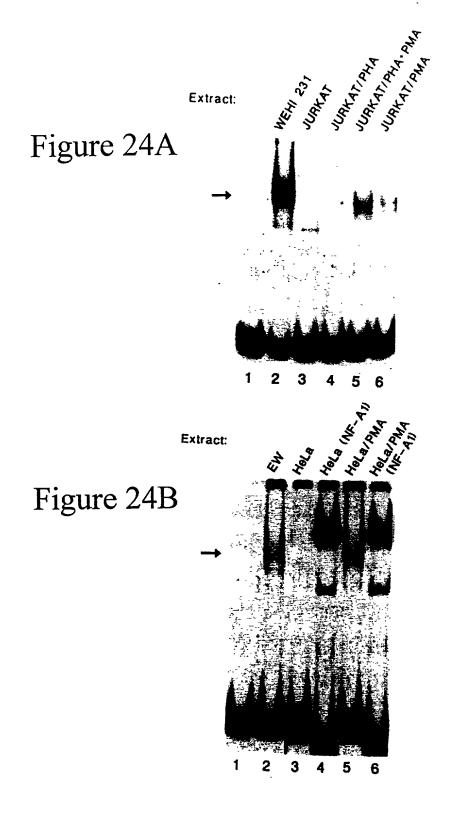
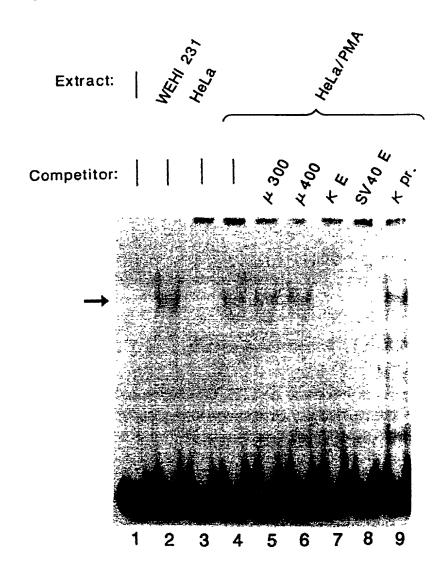


Figure 24C



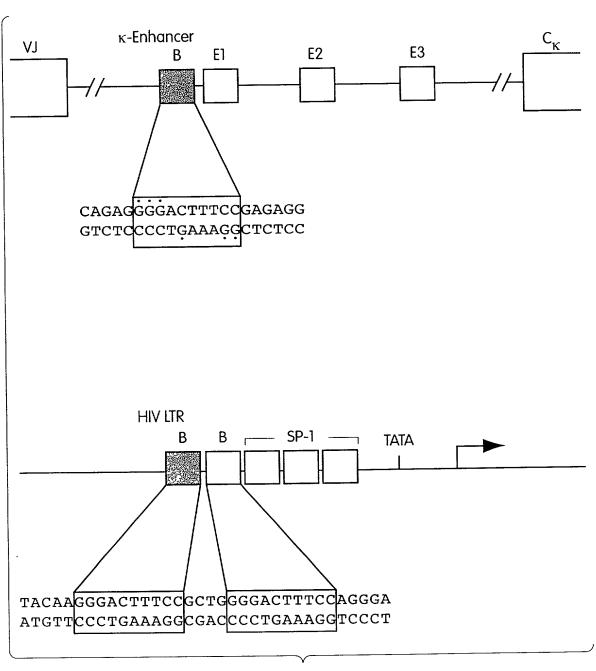


Fig. 25

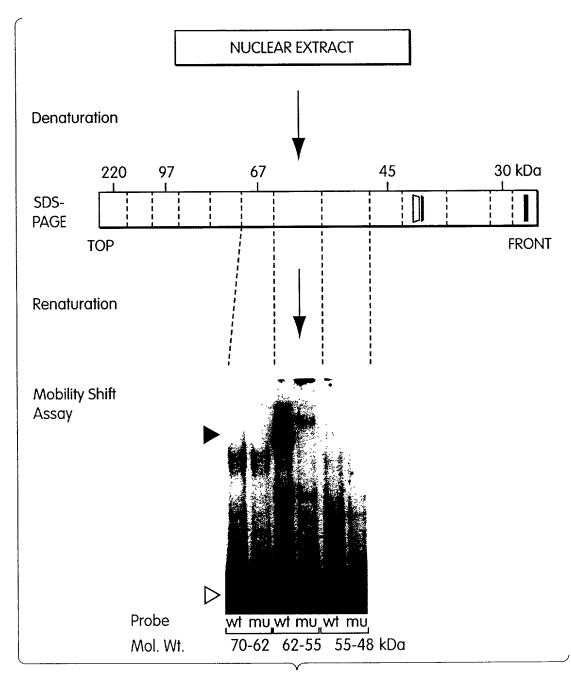
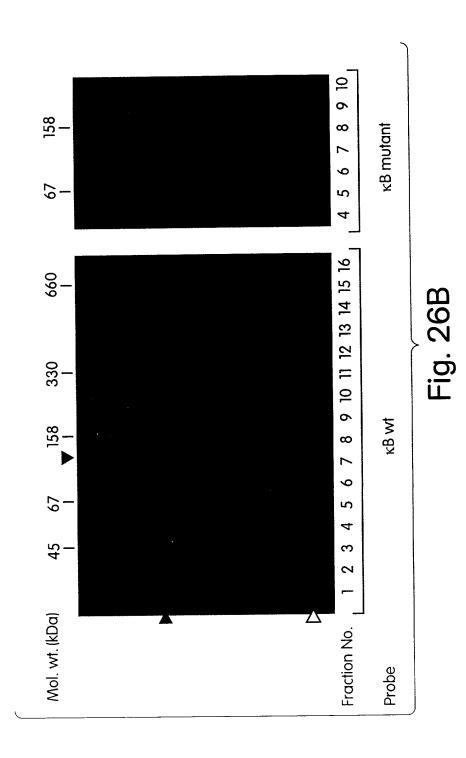
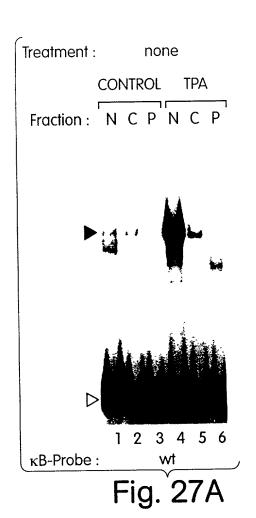
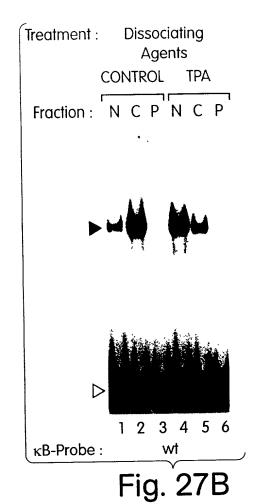


Fig. 26A







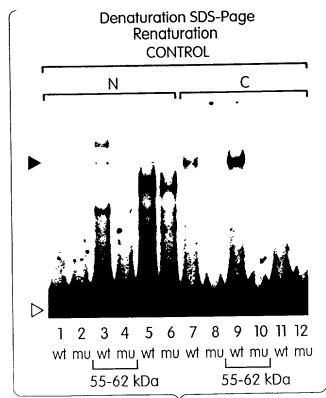


Fig. 27C

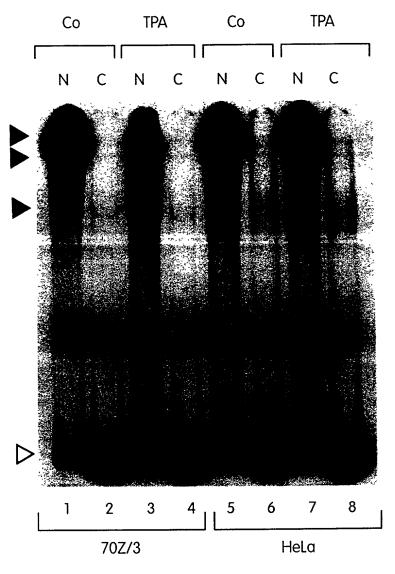


Fig. 28

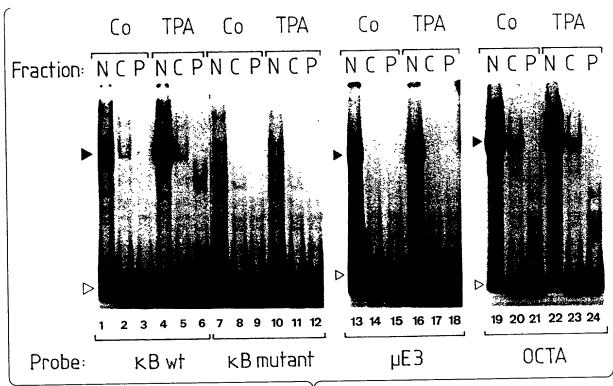


Fig. 29

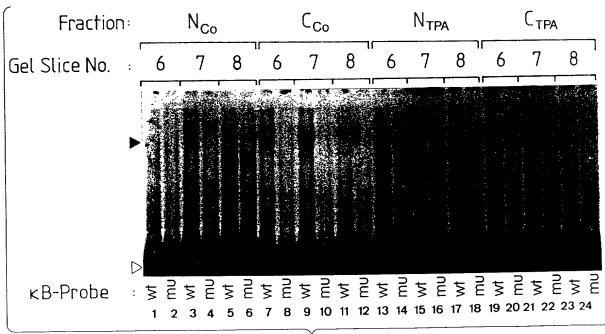


Fig. 30

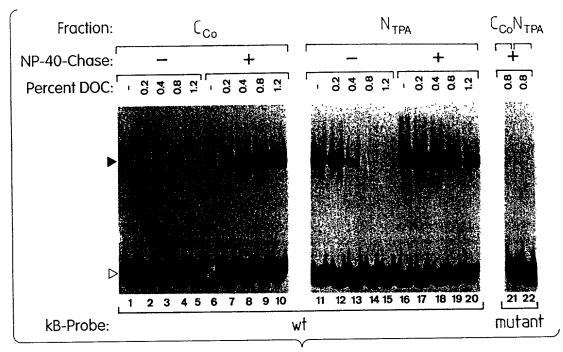


Fig. 31A

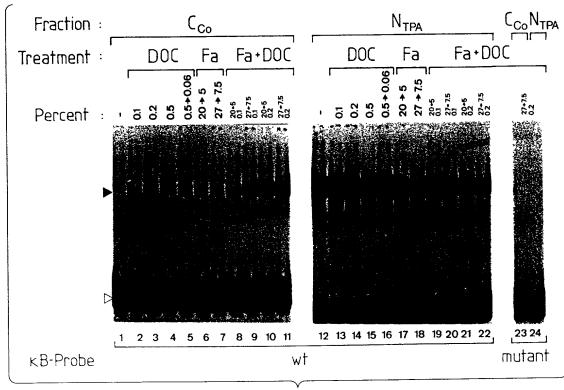


Fig. 31B

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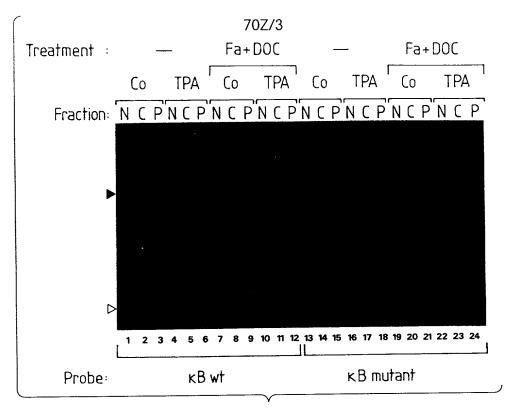


Fig. 32

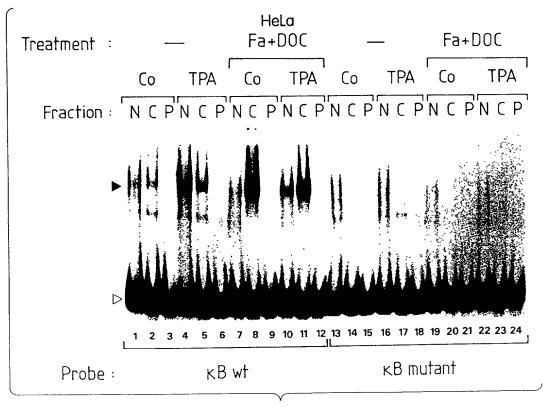


Fig. 33

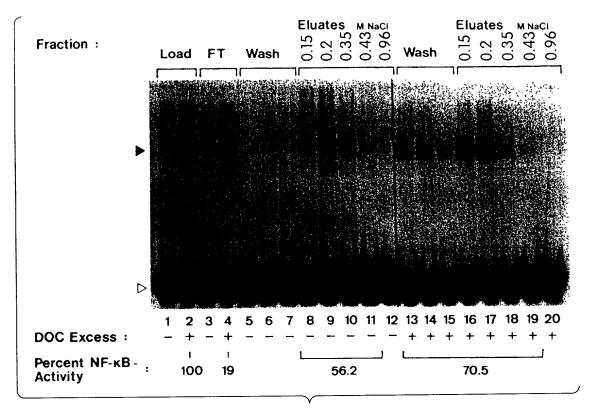


Fig. 34A

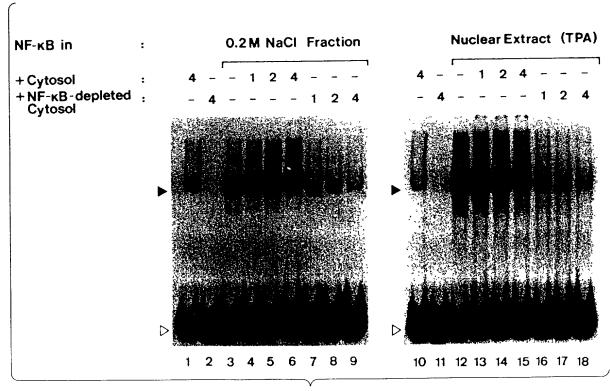
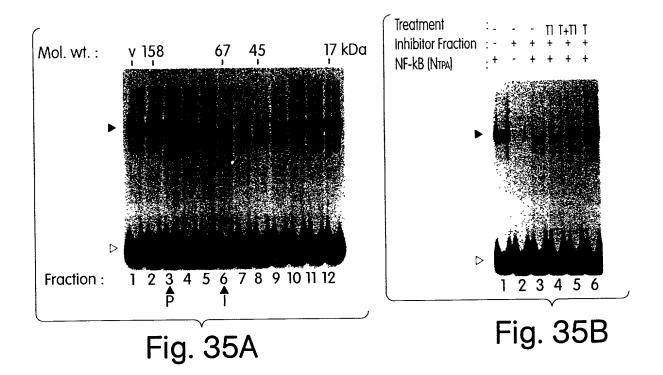


Fig. 34B



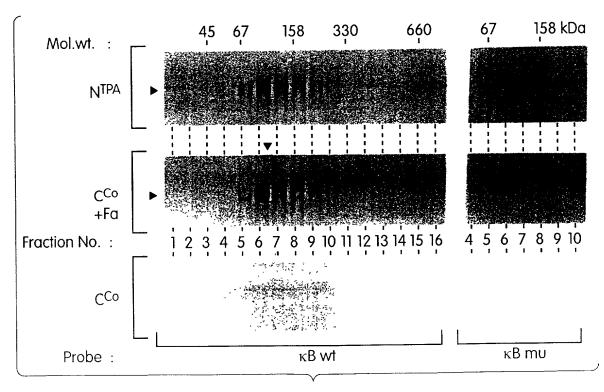
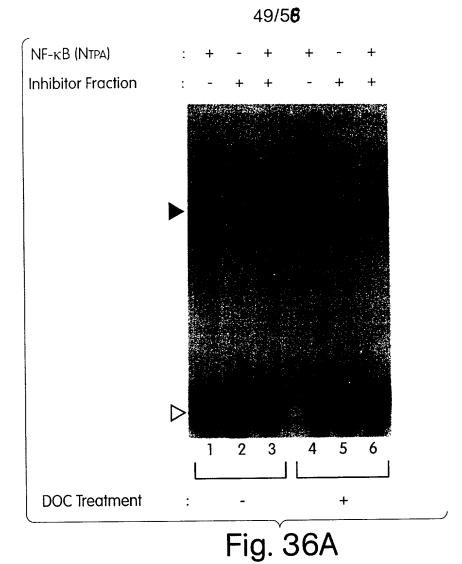
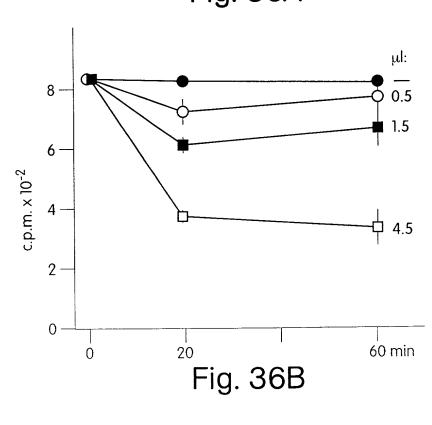


Fig. 35C





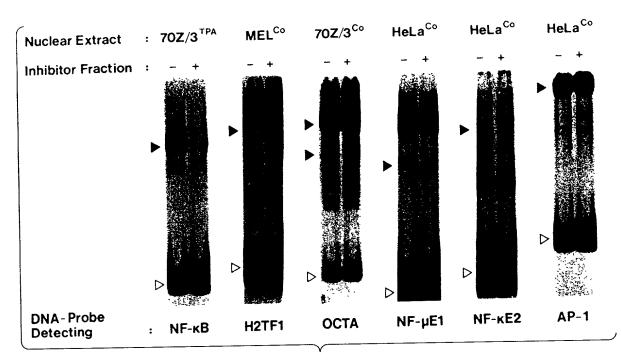


Fig. 37A

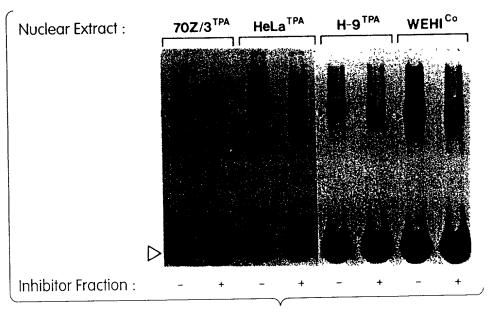


Fig. 37B

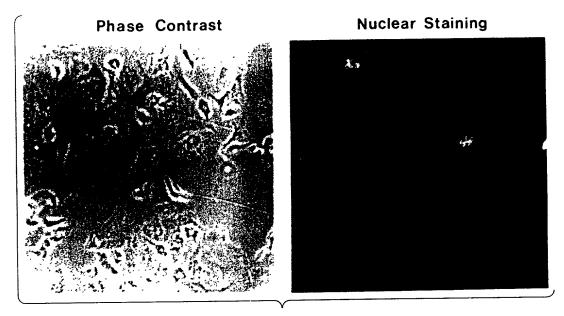


Fig. 38A

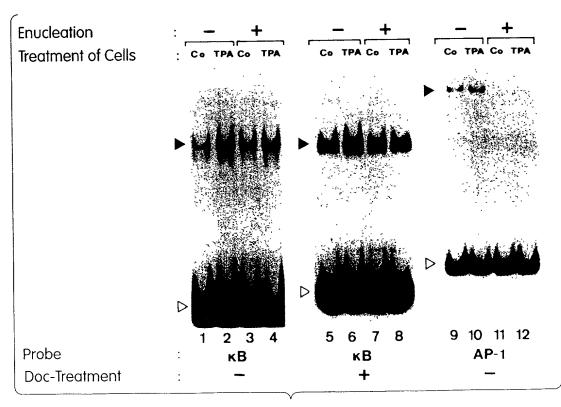


Fig. 38B

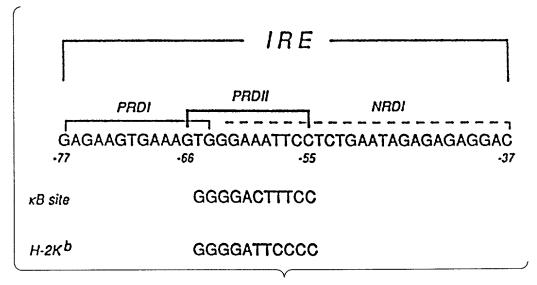
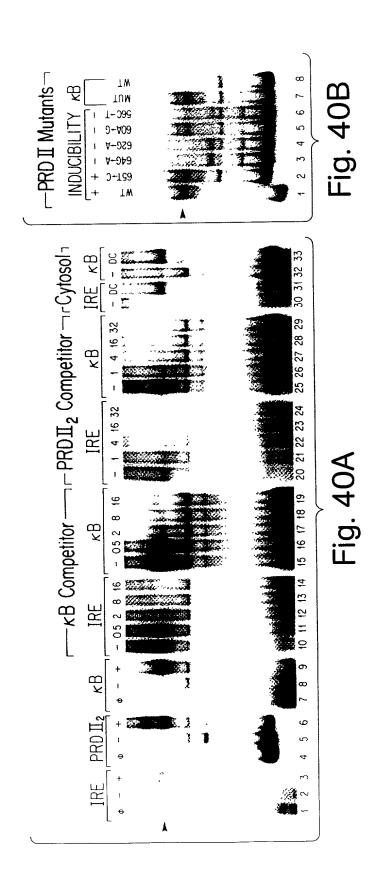
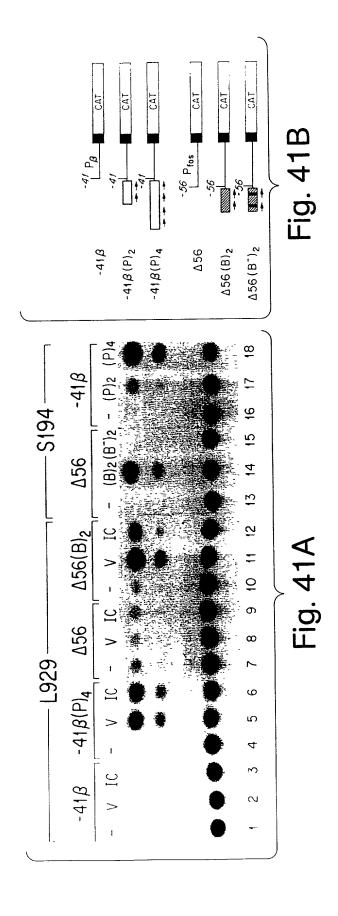


Fig. 39





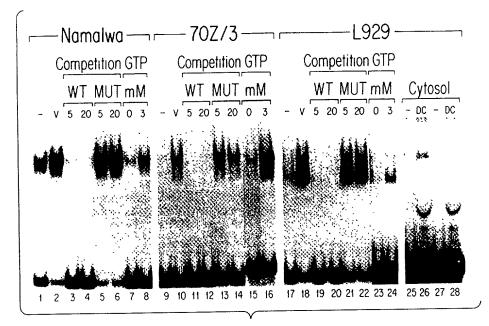
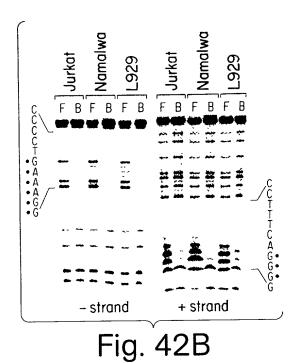


Fig. 42A



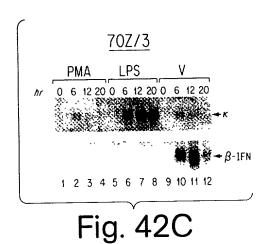


Figure 43

GAGTGAGCAGTAGGATGTGGAGCTCAAAGCAGAGTTGCACCTGCTGACCCCCCAGCCTGAATTTGGTTCACCCAGAG GCACAGTGGGGAAGGCTGGACAGAGGAAGAAGGAACGATCCATAGAGATGTGAACCAGAATCAGTCGTGTTGAGC TGAACCTCCCCCTCCCTACGCCTGGCATTTGCAATTAAAACTGGGATTCAAGGGCCAAATTCAAGCCCA ACTACAAGTCAGAAAGGCATGTTTAGAAAGAGGCATGCTAAGGACTGATGGTGGAACGGCCAATTTGTCCCCACCA TCTGGGTATATCACTACATGTTTAACTCTTGCAAGACCGTTTGCCCAGGGCTTTGGTACCACAGGGTTAGAGTTAC CCCCACAACAGGATCGGCACCCCAGAGTTCAACAAGTGGCTGACTTTGTTAAAACACTACGTGGGAACCCATAGTC CCGGATCAGTAGTTGCACAGCCCCCTCCCCGACAGACTACACCGCTGTTTGCTGATCCTTGCCCACCCCATGCTCT CCTCCCAGGCCCCCGTTCTGCTCTTGTCCTGCGGCGCTGGATTGAACCGCACACAAGTCTGCATCTGGCACGAA TTCTCATGGGAGCCACGTCATGAGGTACGTGGTTGCACCCTATCACAAGAAGTCTTGCAGTTCTGACTCTCCTGA GCTCGGTGGGAAAGTCTGGATAGTACCTCCCCTCTCCTGCCACAAAAGCAGCCCTCACATTCACAAGTTTCCAAAAG CAGGTCTATTGAGTTTCTCTTCAGAGCGAGCCTTTGTCAAACACACCTGGAGGGGGGGAGTCTCACCTCTCCCCAGC AACTCAGATCAGTGCCTTATTTTAATGCTCCGGCCCAATCCTGAGGTGCTGCTGGGTTTTGTGGGCTGCGTTTTTGT AACATTGCAACCTTATAAAAATTAACTATTTCGACAATGCCGCAGAAGGAAATTCTGTGTTTAGGTGCTGGTGG K V R AAGGGGGGGAAGGGGGGGGTCCTTGGTTCATTTCCCTTCACTGTGTGACCGAAGTTTTGCTTTATTTGTAAACA 口 H ⊣ U 二 H ഗ ഗ ŋ G

TCTTGAATTACCCGTCGTTTTCCAGTCTTCATCGTGCTGTTGTCAGGCCACTGGAGGGAATTCCCCGTCTCGGAAC H C ы D T K > > Ø Η ഗ

Figure 43 (continued)

GAGCCGCCCGCCGTGGAGGGCTGCGAGCCGCCGCGCAAGGAACGGCAAGGCCGGGCTGCTGCCGCCCGACGACGCC Н Н ᠐ ᠐ ĿÌ × ፈ Д 回 უ ტ

ACGACAGCGGGCTGGACTCCATGAAGGAGGAGGAGTACAGGCAGCTGGTGCGGGGAGCTGGAGGACATCCGCCTGCA ĿЛ Ħ ፚ E Y R Q L V 77 円 円 Σ L D S GCCCCGCGAGCCGCCCGCCCGCCGCCTGGGCCCAGCTCACCGAGGACGGCGACACTTTTCTCCACTTG A Q Q L T E D G D Z Ø 耳 Ø GCGATCATTCACGAGGAAAAGGCCCTGAGCCTGGAGGTGATCCGGCAGGCCGCTGGGGACGCCGCTTCCTGAACT ď ø Ω ტ A Q A ĸ Н EV SL Н KA 曰

TCCAGAACAACCTCAGCCAGACTCCGCTCCACCTGGCGGTGATCACGGACCAGGCCGAAATCGCCGAGCACTGCT A E T D Q Ank. II N L S Q T P L H L A V I

GAAGGCTGGCTGCGACCTGGATGTCAGGGACTTCCGTGGGAACACCCCCGCTCCACATCGCCTGCCAGCAGGGCTCG Q A C T P L H I A Ank. F R G N Ω D V R

CTCCGCAGCGTCAGGCTCTCACGCAGCACTGCCAGCCCCACCTCCTCGCCGTCCTGCAGGCCAACTACA Ø Ø L L A V L 田 田 ΔΙ Ø ОНО H T S S ACGGCCATACATGTCTCCATTTGGCATCTATTCAAGGATACCTGGCTGTTGTCGAATACCTGCTGTCCTTAGGAGC LLS ഥ A V V L A S I Q G Y L 田 ы AGATGTAAATGCTCAGGAGCCATGCAATGGGAGAACAGCACTACACTTGGCCGTAGACCTTCAGAACTCAGACCTG Ц Z A V Н 工 ᅱ Ø ⊱⊣ Ø

Ank. IV

Figure 43 (continued)

 \geq GTGTCACTTCTGGTGAAACACGGGCCAGATGTGAACAAAGTGACCTACCAGGGCTACTCCCCATACCAGCTTACAT U Ø × ⊟ > X Z > Ω Д G 二 × L V ᆸ

GGGCAGAGACAACGCCAGCATACAGGAGCAGCTGAAGCTGCTGACCACAGCTGACCTGCAGATACTGCCGAAAGT 354 S ഗ

GTGCCCTGCTCCCTGACCCTGGCTGCTCAGGGTTGAGGAGTCCGACCATGGGAGAGGTGACCTGGCTGCTGGGAGG ACATCATGCTAACAGGTTCCATGCTCTGACCTGTACTTAAGTAACGGGATGGGATGTAACATCGTTAAGAGATC AGTGAACATGCACCCATCTGATAAAGAGCCACGTTATCTAATTTTCTCTGCCACATGAGGATAACGGACTGCACGT CCAATGTGCTGTTGTCAGAAATGCGTTTGAGAGCTGCCTTGTGACACTAAGTGCTGTGAGGAGTGCTCATCCCCCT CGGTGGCAAGACAGGCTTGCACAAACGTCCCATCTGCTTGAAGACTGTGAGGTTGGCATTAGGTTGAGGCACTGCT TTTAGCAACTGTATAGAATGTAAATACTGTACATCTTTGTTTATAATTATTTTGGTACCTGTGAGATATGTATTTA ITAAAAAAGGCAGATTTCTGTAAAAAA